

15992 U.S. PTO  
040704

Practitioner's Docket No. D-1238 R1

PATENT

Preliminary Classification: Art Unit 2876  
Proposed Class: 235  
Subclass: 379

22387 U.S. PTO  
10/820902

040704

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop - Patent Application  
Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s): H. Thomas Graef; Zachary Utz; Daniel P. Schoeffler; Shawn Griggy; Natarajan Ramachandran

For (title): CASH DISPENSING AUTOMATED BANKING MACHINE WITH NOTE UNSTACKING AND VALIDATION

1. Type of Application

This transmittal is for a continuation-in-part (C-I-P) application.

**CERTIFICATION UNDER 37 C.F.R. SECTIONS 1.8(a) AND 1.10\***  
(When using Express Mail, the Express Mail label number is **mandatory**;  
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

☐ deposited with the United States Postal Service in an envelope addressed to the Mail Stop - Patent Application, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

37 C.F.R. Section 1.8(a)

☐ with sufficient postage as first class mail.

37 C.F.R. Section 1.10\*

☒ as "Express Mail Post Office to Address" Mailing Label No. EV382415875US (mandatory)

TRANSMISSION

☐ transmitted by facsimile to the Patent and Trademark Office (703)

Date: 7/7/2004

Signature

Ralph E. Jocke  
(type or print name of person certifying)

**\*WARNING:** Each paper or fee filed by "Express Mail" **must** have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. Section 1.10(b).  
"Since the filing of correspondence under [Section] 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will **not** be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

**2. Benefit of Prior U.S. Applications (35 U.S.C. Sections 119(e), 120, or 121)**

The new application being transmitted claims the benefit of prior U.S. applications. Enclosed are ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATIONS CLAIMED.

**3. Papers Enclosed**

**A.** Required for filing date under 37 C.F.R. 1.53(b) (Regular) or 37 C.F.R. 1.153 (Design) Application

71 Page(s) of Specification  
10 Page(s) of Claims  
27 Sheet(s) of Drawing(s)--Formal

**B.** Other Papers Enclosed

7 Page(s) of declaration and power of attorney  
1 Page(s) of abstract  
8 Page(s) of Application Data Sheet

**4. Additional Papers Enclosed**

Assignment and Cover Sheet

**5. Declaration or Oath**

Enclosed

Executed by:  
\* inventors.

**6. Inventorship Statement**

The inventorship for all the claims in this application is not the same. An explanation, including the ownership of the various claims at the time the last claimed invention was made, will be submitted.

**7. Language**

English

**8. Assignment**

An assignment of the invention to Diebold Self-Service Systems division of Diebold, Incorporated is attached. A separate FORM PTO 1595 is also attached.

**9. Fee Calculation (37 C.F.R. Section 1.16)**

Regular Application

CLAIMS AS FILED					
Claims	Number Filed	Basic Fee Allowance	Number Extra	Rate	Basic Fee 37 CFR 1.16(a) \$770.00
Total Claims (37 CFR 1.16(c))	34	- 20 =	14 x	\$18.00	\$252.00
Independent Claims (37 CFR 1.16(b))	3	- 3 =	0 x	\$86.00	\$0.00
Multiple Dependent Claim(s), if any (37 CFR 1.16(d))			+	\$290.00	\$0.00

Filing Fee Calculation \$1,022.00

**10. Fee Payment Being Made at This Time**

Enclosed	
Filing Fee	\$1,022.00
Recording assignment (\$40; 37 C.F.R. Section 1.21(h)) (See attached "COVER SHEET FOR ASSIGNMENT ACCOMPANYING NEW APPLICATION".)	\$40.00
<b>Total Fees Enclosed</b>	<b>\$1,062.00</b>

**11. Method of Payment of Fees**

Charge Account No. 09-0428 (InterBold) in the amount of \$1,062.00.  
A duplicate of this transmittal is attached.

**12. Authorization to Charge Additional Fees**

The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Account No. 09-0428.

37 C.F.R. Section 1.16(a), (f) or (g) (filing fees)

37 C.F.R. Section 1.16(b), (c) or (d) (presentation of extra claims)

37 C.F.R. Section 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)

37 C.F.R. Section 1.17(a)(1)-(5) (extension fees pursuant to SECTION 1.136(a))

37 C.F.R. Section 1.17 (application processing fees)

**13. Instructions as to Overpayment**

Credit Account No. 09-0428 (InterBold)

**ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATIONS CLAIMED**

**14. Relate Back**

Amend the specification by inserting, before the first line, the following sentence:

**A. 35 U.S.C. Section 119(e)**

This application claims the benefit of U.S. Provisional Application Nos.:

<b>APPLICATION NO.</b>	<b>FILING DATE</b>
60/535,366	01/09/2004
60/535,359	01/09/2004

The above identified provisional applications whose benefits are being claimed were filed in the English language.

This application is a continuation-in-part of copending application(s) application number 10/688,670 filed on 10/17/2003, and 10/688,725 filed on 10/17/2003.


The nonprovisional applications designated above, namely application 10/688,670, filed 10/17/2002 and 10/688,725 filed 10/17/2003, claim the benefit of U.S. Provisional application(s) No(s).:

<b>APPLICATION NO.</b>	<b>FILING DATE</b>
60/535,366	01/09/2004
60/535,359	01/09/2004

**15. Further Inventorship Statement Where Benefit of Prior Application(s) Claimed**

**15. Further Inventorship Statement Where Benefit of Prior Application(s) Claimed**

- a. The inventorship for the claims in this application is the same as in the prior applications from which priority is claimed.



---

Ralph E. Jocke  
Registration No. 31,029  
Walker & Jocke  
231 South Broadway  
Medina, OH 44256  
330-721-0000  
Customer No. 28995